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PATENT  
Docket No. 202.3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Clarence N. Ahlem, et al.	)	Group Art Unit 1623
			)	
App. No.	:	09/820,483	)	
			)	
Filed	:	March 29, 2001	)	
			)	
For	:	IMMUNE MODULATING	)	
		METHOD USING STEROID	)	
		COMPOUNDS	)	
			)	
Examiner	:	E. Peselev	)	

**SUPPLEMENTAL INFORMATION**  
**DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 (in duplicate) listing references that are also enclosed. No fee is required in accordance with 37 C.F.R. § 1.97(b)(1).

Respectfully submitted,

HOLLIS-EDEN PHARMACEUTICALS, INC.

Dated: March 11, 2003

By: Daryl D. Muenchau

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#17  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY "FIRST-CLASS MAIL"**

Attorney Docket No. : 202.3  
Serial No. : 09/820,483  
Applicant(s) : Clarence N. Ahlem, et al.  
For : Immune Modulation Method Using Steroid Compounds  
Attorney : Daryl D. Muenchau, Registration No. 36,616

I hereby certify that the accompanying

Information Disclosure Statement Transmittal; Information Disclosure Statement  
(Form 1449-in duplicate) with cited references (3); and Return Prepaid Postcard

are being deposited with the United States Postal Service "First-Class Mail" service on the date indicated below and are addressed to the United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, ATTN: Box DD.

3-18-03  
Date of Deposit

Vickie L. Cowan  
Vickie L. Cowan

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>SUPPLEMENTAL          INFORMATION DISCLOSURE STATEMENT</b> BY APPLICANT - February 24, 2003  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. 202.3	APPLICATION NO. 09/820,483	<b>RECEIVED</b>  MAR 27 2003  TECH CENTER 1600/2900
	APPLICANT Clarence Ahlem, et al.		
	FILING DATE March 29, 2001	GROUP E. Peselev	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	6,297,212 B1	10/2/01	Fahy			
	6,316,503 B1	11/13/01	Li et al.			
	6,331,534 B1	12/18/01	Berliner et al.			
	6,352,997 B1	3/5/02	Waldstreicher et al.			
	6,365,597 B1	4/2/02	Pribish et al.			
	6,372,732 B1	4/16/02	Lardy et al.			
	6,399,084 B1	6/4/02	Zenk et al.			
	6,399,085 B1	6/4/02	Zenk et al.			
	6,410,516 B1	6/25/02	Baltimore et al.			
	6,432,940 B1	8/13/02	Labrie			
	6,437,156 B1	8/20/02	Jennings-White et al.			
	6,489,313 B1	12/3/02	Lardy et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
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BY APPLICANT - February 24, 2003

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Clarence Ahlem, et al.FILING DATE  
March 29, 2001GROUP  
E. Peselev

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 96/16076	5/30/96	PCT				
		WO 96/38466	12/5/96	PCT				
		WO 97/36596	10/9/97	PCT				

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
202.3APPLICATION NO.  
09/820,483SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT - February 28, 2003

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Clarence Ahlem, et al.FILING DATE  
March 29, 2001GROUP  
E. Peselev

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/63228	10/26/00	PCT				
	WO 00/66611	11/9/00	PCT				
	WO 01/01969 A2	7/5/00	PCT				
	WO 01/05356 A2	7/14/00	PCT				
	WO 87/01706	3/26/87	PCT				
	WO 92/03925	3/19/92	PCT				

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APPLICANT  
Clarence Ahlem, et al.FILING DATE  
March 29, 2001GROUP  
E. Peselev

## FOREIGN PATENT DOCUMENTS

EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
		WO 97/37664	10/16/97	PCT			
		WO 97/38695	10/23/97	PCT			
		WO 97/46574	12/11/97	PCT			
		WO 97/48367	12/24/97	PCT			
		WO 98/02450	1/22/98	PCT			

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